# James Lockhart, PhD

lockhart@arizona.edu jlockhart@ra.ac.ae +971.58.593.7457 Mayan 2 Apartment 512 Yas Island Abu Dhabi, United Arab Emirates

#### PROFESSIONAL APPOINTMENTS

# Rabdan Academy/Zayed Military University, Abu Dhabi, United Arab Emirates Program in Defense and Security Studies

Associate Professor of Defense and Security Studies

August 2022-present

## Zayed University, Dubai, UAE Department of International Affairs

Associate Professor of History and International Affairs

May 2022-July 2022

Assistant Professor of History and International Affairs

August 2019-April 2022

# American University in Dubai (AUD), UAE

Department of International and Middle Eastern Studies

Assistant Professor of History and International Studies August 2016-July 2019

# Embry-Riddle Aeronautical University, Prescott, AZ Department of Global Affairs and Intelligence Studies

Instructor of Modern World History and American Foreign Relations August 2014-July 2016

## University of Arizona, Tucson, AZ Department of History

Instructor of American Foreign Relations May 2008-July 2014 Graduate Teaching Associate August 2006-May 2010

#### **EDUCATION**

#### University of Arizona, Tucson, AZ

PhD, Department of History, College of Social and Behavioral Sciences

August 2016

American foreign relations and intelligence

Minors in world and comparative history; Near Eastern studies

Foreign-language exam: Spanish

Dissertation: "Reimagining Chile's Cold War Experience: From the Conflict's Origins to the Inauguration of Salvador Allende," directed by Regents Professor Michael Schaller and Professor Elizabeth Cobbs

#### San Diego State University, San Diego, CA

MA, Department of History, College of Arts and Letters

January 2006

American foreign relations and intelligence

Thesis: "CIA-Sponsored Covert Action in Latin America and the Caribbean," directed by Professor Elizabeth Cobbs and Professor Brian Loveman

#### University of Nevada, Las Vegas, Las Vegas, NV

BA, Interdisciplinary Studies, College of Liberal Arts

August 1997

Latin American Studies

Minor in modern world history

#### **BOOKS**

- Lockhart, J. "Secret Emissary: Vernon Walters and American Intelligence in the Cold War" (under consideration for an advance contract by Georgetown University Press).
- Lockhart, J. (2019). Chile, the CIA and the Cold War: A Transatlantic Perspective. Edinburgh: Edinburgh University Press. Reviewed in Intelligence and National Security; H-DIPLO; Journal of Advanced Military Studies; Journal of Latin American Studies; Studies in Intelligence; Journal of Intelligence History.

## PEER-REVIEWED JOURNAL ARTICLES

- Lockhart J. and Moran, C. Untitled, American intelligence activities in Chile as reflected in the film, *Missing*. British Academy: Visual Culture, State Secrecy and Surveillance (in progress for submission to *Transactions*).
- Moran, C., Vigurs, K., Aldrich, R., Andrew Hammond, James Lockhart, and Ronan Mainprize (forthcoming in 2023). The CIA at 75: Roundtable on the Past, Present and Future of the Central Intelligence Agency. *Journal of Intelligence History*.
- Lockhart J. and Robbins, M. (2023). <u>John le Carré's Southern Turn</u>: British Intelligence and Degenerative Satire in Post-Cold War Latin America and Africa. *Intelligence and National Security* 38, 258-270. DOI 10.1080/02684527.2022.2151758.
- Lockhart J. and Moran, C. (2022). <u>Principal Consumer</u>: President Biden's Approach to Intelligence," *International Affairs* 98, 549-567. DOI 10.1093/ia/iiab210.
- Lockhart, J. (2021). <u>Cuba and the Secret World</u>. *International History Review* 43, 170-184. DOI 10.1080/07075332.2019.1692310.
- Lockhart, J. (2019). <u>How Effective Are Covert Operations?</u> Reevaluating the CIA's Intervention in Chile, 1964-1973. *Marine Corps University Journal* 10, 21-49. DOI 10.21140/mcuj.2019100102.

#### **CHAPTERS IN EDITED VOLUMES**

- Lockhart, J. The Central Intelligence Agency: An Instrument of Government to 1961. In Steve Long, et al. (eds.), Covert Action in the Early Cold War: Rethinking Western Covert Operations against International Communism (contracted with Georgetown University Press, anticipated publication in 2025).
- Lockhart, J. (2020). International Cooperation during the Cold War: British Interest in Chile's Early Nuclear History, 1953-1970. In Thomas Mills and Rory Miller (eds.), <u>Britain and the Growth of US Hegemony in Twentieth-Century Latin America</u>: Competition, Cooperation and Coexistence (181-202). New York: Palgrave Macmillan.
- Lockhart, J. (2019). Chilean Expansion and Southern South America's Integration into the Modern Capitalist System, 1879-1931. In Sabrina Joseph (ed.), <u>Commodity Frontiers and Global Capitalist Expansion</u>: Social, Ecological and Political Implications from the Nineteenth Century to the Present Day (183-214). New York: Palgrave Macmillan.
- Lockhart, J. (2018). The Dulles Supremacy: Allen Dulles, the Clandestine Service, and PBFORTUNE. In Christopher Moran, et al. (eds.), <u>Spy Chiefs</u>, vol. 1: Intelligence

Leaders in the United States and Great Britain (91-112). Washington, DC: Georgetown University Press.

#### **BOOK REVIEWS, LAST FIVE**

- Stephen Hadley, et al., eds., Hand-Off: The Foreign Policy George W. Bush Passed to Barack Obama (Washington, DC: Brookings Institution/Rowman & Littlefield, 2023), International Affairs (forthcoming).
- Review of Lawrence Freedman, Command: The Politics of Military Operations from Korea to Ukraine (London: Allen Lane, 2022), International Affairs (forthcoming).
- Roundtable review of Nicholas Reynolds, <u>Need to Know</u>: World War II and the Rise of American Intelligence (New York and Boston: Mariner Books, 2022), H-Diplo, May 2023.
- Review of Robert Howes, "<u>Latin America and the Marshall Plan</u>: The Critique from Brazil of Roberto Simonsen" *Journal of Transatlantic Studies* (19:4 Dec. 2021): 441-464, H-Diplo, January 2023.
- Review of Rory Cormac, <u>How to Stage a Coup</u>: And Ten Other Lessons from the World of Secret Statecraft (London: Atlantic Books, 2022), International Affairs 98 (November 2022): 2158-2159. DOI.org/10.1093/ia/iiac237.

#### SELECT OTHER PUBLICATIONS AND INTERVIEWS

- James Lockhart and Kristian Gustafson, "Chile's Coup," History as It Happens. Podcast of the *Washington Times*, 21 September 2023.
- James Lockhart and Keith Michaels, "The Gambling Capital of the World: Intelligence, Las Vegas Style!" *SpyCast*, Episode 598. Spy Museum, Washington, DC, 15 August 2023.
- James Lockhart and Christopher Moran, "American Intelligence on Ukraine: James Lockhart and Christopher R Moran show how President Joe Biden's approach to intelligence impacts US policies on Ukraine." *International Affairs*/Chatham House, 10 March 2022.
- James Lockhart, in *Historias*, Podcast of the Southeastern Council of Latin American Studies Episode 149, "James Lockhart on the CIA and Chile," 14 October 2021.
- James Lockhart, in *The Secret Struggle for Cold War Dominance*, Episode 10: "Cuba and the Secret World," 1 December 2020.
- James Lockhart, consulted and interviewed on background in podcast, *Science Vs*, "Did the CIA Plant a Virus in Cuba?" November 2020.
- James Lockhart, consulted and interviewed on background in *CQ Roll Call, Oversight*, "Jonestown: Government Inaction," November-December 2019.
- James Lockhart, "Spy vs. Spy: The Bay of Pigs and the Battle for the Soul of the CIA," War on the Rocks, 27 December 2016.
- James Lockhart and Ty Groh, "Is America an Empire?" War on the Rocks, 27 August 2015.

- James Lockhart, "<u>US-Cuban Relations</u>: Lessons from China and the Soviet Bloc," *War on the Rocks*, 31 December 2014.
- James Lockhart, "The Master-Narrative': The United States, Chile, and the Limits of Washington's Power in Latin America," in Johns Hopkins University, Global Security Studies Program Podcast, August 2014.

#### SELECT PROFESSIONAL CONFERENCES

- Presented "'America's Most Experienced Secret Emissary': Vernon Walters and US-Brazilian Intervention in Chile." International Studies Association, Nashville, Tennessee/Cyberspace, March-April 2022.
- Presented "Quel Panama! John le Carré's Atlantic Crossings." Transatlantic Studies Association, Cyberspace, July 2021.
- Presented "The United States and the Falklands War." Southeastern Council of Latin American Studies, Cyberspace, April 2021.
- Discussant in "Space Politics, Great Power Competition, and the Final Frontier." International Studies Association, Cyberspace, April 2021.
- Chaired "The Influence and Effects of International Organizations around the Globe." International Studies Association, Cyberspace, April 2021.
- Presented "Chilean Anticommunism and Transatlantic Counterinsurgency in the Atlantic World: New Insights into the Condor Alliance." International Studies Association, Cyberspace, April 2021.
- Chaired "Anglo-American Diplomatic Relations in the 1970s and 1980s." Transatlantic Studies Association, University of Lancaster, Britain, July 2019.
- Presented "Lt. Gen. Vernon Walters and the Limitations of American Power and Influence in Southern South America." Latin American Studies Association, Barcelona, Spain, May 2018.
- Presented "Showcase for Nuclear Technology: Britain and Chile's Research Reactor, 1968-1969." British International History Group, University of the West of England, Bristol, Britain, September 2013.
- Presented "Revisiting the World According to Washington: The Post-London Literature on US-Chilean Relations." Society for Historians of American Foreign Relations, Falls Church, Virginia, June 2009.

#### SELECT INVITED TALKS AND WORKSHOPS

- James Lockhart and Micah Robbins, "John le Carré's Southern Turn: British Intelligence and Degenerative Satire in Post-Cold War Latin America and Africa." North American Society of Intelligence History, Washington, DC, 15 November 2022.
- James Lockhart, at Visual Culture, State Secrecy and Surveillance. British Academy, Carlton House Terrace, London, Britain, June 2022.

- James Lockhart and Christopher Moran, "Principal Consumer: President Biden's Approach to Intelligence." Cambridge Intelligence Seminar, Corpus Christi College, University of Cambridge, Britain, 28 January 2022.
- James Lockhart and Christopher Moran, "Principal Consumer: President Biden's Approach to Intelligence." British Intelligence Community, through the Cabinet Office, Intelligence Assessment Leadership Committee, London, Britain, 24 November 2021.
- James Lockhart, "The Militarization of the Sky and Space, and Humanity's Imminent Expansion across the Solar System." Sharjah Art Foundation Sky Forum, Mirage City Cinema, Sharjah, United Arab Emirates, 11 October 2019.
- James Lockhart, opening speech, Harvard Model Congress, AUD, 24 January 2019.
- James Lockhart, "Cuba and the Secret World." The Secret Struggle for the Global South. Institute of Advanced Study, University of Warwick, Britain, September 2018.
- James Lockhart, chair and moderator of "Ethical and Moral Leadership," Harvard College in Asia Program, Conference on Redefining Leadership: Initiative and Influence in the Modern World, AUD, March 2018.
- James Lockhart at 9/11 Memorial and Museum Inaugural Conference. Mellon Foundation/9/11 Memorial, New York, United States, June 2017.
- James Lockhart at Annual Conference on Russia and Security. Baltic Defense College, Tartu, Estonia, March 2017.

#### GRANTS, AWARDS, AND INTERNATIONAL RECOGNITION

Date Awarded	Project Title/Name of Award	Funding/Awarding Body	Involvement?	Names of Other Holders	Total Awarded
Feb. 2022	Excellence in research in 2020- 2021. Declined after accepting position at Rabdan Academy	Office of the President, Zayed University	NA	NA	NA
Jul. 2021	Elected Fellow of the Royal Historical Society (FRHistS)	Royal Historical Society, United Kingdom	NA	NA	NA
Nov. 2020	Elected Senior Fellow of the Higher Education Academy (SFHEA)	AdvanceHE, United Kingdom	NA	NA	NA

Feb. 2020	Start-up Grant, second book project on the military and political career of Lt. Gen. Vernon Walters	Office of Research, Zayed University	PI	NA	20000 AED
Jan. 2019	Faculty Teaching Offset for Research, completion of first book project, Chile, the CIA and the Cold War	Office of the Provost, AUD	PI	NA	Teaching release
May 2016	Awarded for teaching excellence in 2015-2016	Office of the Dean, Embry-Riddle College of Security and Intelligence	NA	NA	NA
May 2012	Edwin Turville Fellowship, dissertation completion	Department of History, University of Arizona	PI	NA	1200 USD
May 2009	Barbara Payne Robinson Fellowship, pre- dissertation support	Department of History, UA	PI	NA	1200 USD
May 2009	Marshall/Baruch Fellowship in Twentieth-Century Diplomatic and Military History, pre-dissertation support	Gen. George Marshall Foundation	PI	NA	1500 USD
May 2009	Harry Middleton Fellowship in Presidential Studies, pre-dissertation support	Lyndon Johnson Foundation	PI	NA	5000 USD
May 2009	Pre-Dissertation Research Grant, pre-dissertation support	Social and Behavioral Sciences Research Institute, UA	PI	NA	1200 USD
May 2008	Richard Cosgrove Fellowship, pre- dissertation support	Department of History, UA	PI	NA	200 USD

May 2008	Marjorie Kovler	John Kennedy	PI	NA	2500 USD
	Research	Foundation			
	Fellowship in the				
	Presidency and				
	Foreign				
	Intelligence, pre-				
	dissertation support				
May 2007	Richard Cosgrove	Department of	PI	NA	200 USD
	Fellowship,	History, UA			
	research support,				
	other than				
	dissertation				
May 2007	Research and travel	Harry	PI	NA	1700 USD
	grant, research	Truman Foundation			
	support, other than				
	dissertation				

# **TEACHING**

12/10/11/10			
Undergraduate, surveys			
World History, preindustrial	12-30 students, 15x		
Global History, modern and contemporary	12-30 students, 6x		
World History, twentieth century	12-30 students, 5x		
US to the Civil War	12-30 students, 1x		
America since the Civil War	12-300 students, 9x		
Introduction to Security Studies	12-15 students, 3x		
Nontraditional Security Studies/Human Security	12-15 students, 4x		
Counterterrorism	12-15 students, 1x		
Undergraduate, upper-division courses	20.45 . 1 . 4		
US Civil War and Reconstruction	30-45 students, 1x		
American Military, twentieth and twenty-first centuries	12-30 students, 5x		
US Politics since 1945	30-45 students, 1x		
The Global Cold War	12-30 students, 4x		
The Vietnam War	30-45 students, 5x		
World Regions: Europe	12-30 students, 7x		
World Regions: The Americas	20-25 students, 8x		
Intelligence	12-15 students, 4x		
Regional Security Studies	12-15 students, 4x		
Undergraduate and graduate, reading and research seminars			
Contemporary International Affairs	12-20 students, 1x		
Asymmetrical Warfare	12-20 students, 1x		
The CIA and Covert Operations	12-20 students, 1x		
Foreign Policy Analysis	12-30 students, 2x		
American Foreign Relations	30-45 students, 10x		
US-East Asian Relations	12-30 students, 1x		
America and the Arab-Israeli Conflict	12-20 students, 1x		
	<b>-</b> 0 00000000, 111		

#### SUPERVISION OF GRADUATE STUDENTS AND DISSERTATIONS

- 2023- External Examiner of PhD Dissertations, University of Warwick, Department of Politics and International Studies (PAIS), December 2023-present.
  - Ms. Zi (Ivy) Yang, "The EU and Artificial Intelligence: Global Positioning between the United States and China," December 2023.

#### COLLEGIALITY, SERVICE, AND LEADERSHIP

## University: Office of the President and/or Provost

- 2018-2019 Co-Chair, Provost Task Force to Establish a Center for Teaching and Learning. AUD, September 2018-July 2019.
- 2017 Member, Provost Roundtable on Pedagogical Innovation. AUD, September-December 2017.
- 2017 Member, Provost Task Force on Industry Engagement, Employability, Internships, and Advisory Councils. AUD, May-July 2017.
- 2016-2019 Member, President/Dean of Student Services Conduct Council. AUD, August 2016-January 2019.
- 2015-2016 Member, Steering Committee on the Embry-Riddle Language Institute, Embry-Riddle Aeronautical University, January 2015-July 2016.

#### College: Office of the Dean

- 2023- Member, Task force to create a PhD in strategic defense studies at Rabdan Academy, August 2023-present.
- 2022-2023 Coordinator and liaison with AdvanceHE in the planning and delivery of its masterclass for 20-30 faculty at Rabdan Academy, and in their applications for Senior Fellow (SFHEA) and/or Fellow (FHEA) November 2022-December 2023.
- 2022-2023 Planned and delivered six professional development sessions for 20-30 faculty at Rabdan Academy, November 2022-March 2023.
- 2019-2021 Member, Committee on Academic Integrity. Zayed University, August 2019-May 2021.
- 2018 Member, Assessment Committee. AUD, January-May 2018.
- 2017-2018 Member, Curriculum Committee. AUD, May 2017-May 2018.

#### Department: Office of the Chair

2021-2022 Member, Committee on Common Assessments, Zayed University, September 2021-May 2022.

2018-2019 Co-Chair, Task Force to Draft the <u>Master of Arts in International Affairs</u> (MAIA) program at AUD, December 2018-July 2019.

2016-2019 Member, multiple search committees at AUD, August 2016-July 2019.

#### **External Service**

Reviewer, Edinburgh University Press (Law, Philosophy and Politics), 2021-present.

Reviewer, Intelligence and National Security, 2021-present.

Creator and lead editor, H-Transatlantic (H-Net), 2021-present.

Reviewer, Georgetown University Press (Studies in Intelligence), 2020-present.

Member, Scholars Strategy Network, Cambridge, Massachusetts, 2017-present.

Reviewer, International History Review, 2016-2021.

Member, Editorial Board and Reviewer, Journal of Intelligence History, 2016-present.

#### PROFESSIONAL DEVELOPMENT

- 2023 Certificate in Chinese Intelligence: Operations and Tactics, Nicholas Eftimiades/Shinobi Enterprises.
- 2023 Certificate in Artificial Intelligence: Implications for Business Strategy, Massachusetts Institute of Technology (MIT), Computer Science and Artificial Intelligence Laboratory/Sloan School of Management.
- 2022 Certificate in the Scholarship of Teaching and Learning (SoTL), AdvanceHE/Zayed University, Innovative Teaching Program.
- 2022 Graduate Teaching Orientation, AdvanceHE/Zayed University, Innovative Teaching Program.
- 2021 Certificate in Applied Technology-Enabled Active Learning, AdvanceHE/Zayed University, Innovative Teaching Program.
- 2020 Certificate in Cybersecurity: Managing Risk in the Information Age, Harvard University, Office for the Vice Provost for Advances in Learning.
- 2020 Certificate in Negotiation and Conflict Resolution, University of Cape Town, Faculty of Law.
- 2020 Certificate in Oxford Executive Leadership Programme, University of Oxford, Saïd Business School.
- 2019 Certificate in Assessment of Student Learning, AdvanceHE/Zayed University, Innovative Teaching Program.

- 2019 Certificate in Instructional Design and Planning, AdvanceHE/Zayed University, Innovative Teaching Program.
- 2018 Bok Teaching Certificate: Higher Education Pedagogy, Harvard University's Derek Bok Center for Teaching and Learning.

#### REFERENCES

Elizabeth Cobbs, PhD

Professor of History; Visiting Scholar, Hoover Institution

Department of History

Texas A&M University

College Station, Texas

Email: cobbs@tamu.edu

Michael Schaller, PhD

Emeritus Professor of History

Department of History

University of Arizona

Tucson, Arizona

Tel: (520) 621-3831; Email: schaller@arizona.edu

Stephen Marrin, PhD

Professor and Director of Program in Intelligence Analysis

School of Integrated Sciences

James Madison University

Email: marrinsp@jmu.edu

Richard Aldrich, PhD

Fellow of the Royal Historical Society; and Leverhulme Major Research Fellow

Professor of International Security

PAIS

University of Warwick

Coventry, UK

Tel: 02476 523523; Email: r.j.aldrich@warwick.ac.uk

Christopher Moran, PhD

Fellow of the Royal Historical Society

Professor of US National Security

**PAIS** 

University of Warwick

Coventry, UK

Tel: 02476 150205; Email: christopher.moran@warwick.ac.uk

Deena Hassan Elsori, PhD

Associate Professor and Assistant Dean

Rabdan Academy

Abu Dhabi, United Arab Emirates

Tel: +971 50 829 7299; Email: delsori@ra.ac.ae