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Methods and Applications in Fluorescence

PAPER

Highly surface functionalized carbon nano-onions for bright light bioimaging

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Abstract

Carbon-based nanomaterials functionalized with fluorescent and water-soluble groups have emerged as platforms for biological imaging because of their low toxicity and ability to be internalized by cells. The development of imaging probes based on carbon nanomaterials for biomedical studies requires the understanding of their biological response as well as the efficient and safety exposition of the nanomaterial to the cell compartment where it is designed to operate. Here, we present a fluorescent probe based on surface functionalized carbon nano-onions (CNOs) for biological imaging. The modification of CNOs by chemical oxidation of the defects on the outer shell of these carbon nanoparticles results in an extensive surface functionalization with carboxyl groups. We have obtained fluorescently labelled CNOs by a reaction involving the amide bond formation between fluoresceinamine and the carboxylic acids groups on the surface of the CNOs. The functionalized CNOs display high emission properties and dispersability in water due to the presence of high surface coverage of carboxylic acid groups that translate in an efficient fluorescent probe for in vitro imaging of HeLa cells, without significant cytotoxicity. The resulting nanomaterial represents a promising platform for biological imaging applications due to the high dispersability in water, its efficient internalization by cancer cells and localization in specific cell compartments.

Introduction

Carbon-based nanomaterials [1–3] have attracted enormous attention in recent years in chemistry, physics and materials science. Carbon nanomaterials (CNMs) consist of a large class of materials that includes fullerene [1], carbon nanotubes [4–6] and graphene [7, 8], as well as carbon nanohorns [9, 10], nanodiamonds (NDs) [11], multi-layer fullerenes, also known as carbon nano-onions (CNOs) [12–14], and carbon nanohoops [15–17]. One of the major drawbacks for the potential applications of CNMs is their poor solubility in common solvents. In order to improve the solubility of these nanomaterials in different solvents, surface modification of CNMs with different functional groups has been devised by either covalent or non-covalent synthetic methods [18–20]. A well-established procedure for the surface grafting of CNM is the radical addition based on the in situ generated diazonium salts. This methodology, firstly described for highly ordered pyrolytic graphite [21], was successfully applied for the surface functionalization of CNTs [22–24], NDs [25], graphene [26, 27] and CNOs [14,28].

The applications of carbon nanomaterials, including graphene and its derivatives [29,30], CNTs [31–33] as well as NDs [11,34], in nanomedicine is well established. These nanomaterials have been widely used as theranostic delivery systems with the possibility to deliver bioactive agents and simultaneously detect selectively diseased tissues [35]. A key application of CNMs in modern biomedical research is related to their use in vitro and in vivo fluorescence imaging [36]. A rather underexplored CNM for biomedical imaging and theranostics delivery are CNOs, that are usually prepared from NDs by high-temperature thermal treatment leading to small diameter (approximately 5 nm) CNOs [13,14,37]. CNOs were previously applied in a variety of fields with leading examples in catalysis [38,39], tribology [40,41], and electronic applications such as anode materials in batteries [42,43], in supercapacitors [44–46] and THz shielding [47]. In 2013 we published the first comprehensive analy-
isis of the inflammatory effects of CNOs functionalized with fluorescein units in both in vivo and in vitro [48]. We demonstrated that the cytotoxicity of CNOs is strongly affected by their physicochemical properties and that the inflammatory properties are controlled by the surface functionalization of the nanomaterial. In addition, we observed that the inflammatory potential of functionalized CNOs was lower than the one detected for CNTs modified with similar functional groups [48]. Indeed, current studies on cellular fate of different CNMs, including CNOs, NDs and carbon nanohorns, have demonstrated that the surface composition is critical for the in vivo application of these CNMs. Significant differences in the nanomaterial internalization pathway and the biological activity in cancer cells have been observed for CNO and ND constructs [36]. For example, extensive biological studies on fluorescent NDs revealed that small NDs entered human cervical cancer cells by a clathrin-mediated endocytic pathway [34]. In the present study, we have focused our attention on CNOs as highly robust, thanks to the multi-shell structure, and tunable platforms for imaging of cancer cells. In our imaging probe design, CNOs with 5 nm diameter are particularly attractive because they can be readily chemically modified on the surface. CNOs possess reactive sites that are suitable for functionalization and they offer a reasonable surface area for the attachment of a wide range of imaging, targeting and/or therapeutic agents. Fluorescently labelled CNOs have been prepared by anchoring derivatives of boron dipyrromethene on to benzoic acid groups on the CNO surface [49, 50]. The high cellular uptake of fluorescently labelled CNOs, along with their low cytotoxicity, renders these carbon based nanomaterial an ideal platform for biomedical applications. For the design of multi-functional theranostic nanomaterials, it is of great importance to develop different surface functionalization strategies. We have recently reported the functionalization of OH-terminated CNOs by a radical addition procedure [51], with a far-red/NIR emitting BODIPY derivative [52]. Another common synthetic procedure for the surface functionalization of CNOs is the oxidation of the surface with nitric acid, leading to carboxy-functionalities on the CNOs surface. These surface functionalities can be derivatized with amines [53–58]. However, none of these examples include fluorescence imaging and toxicological studies, which are fundamental for developing biomedical applications [59].

Here we report the surface functionalization of oxidized CNOs by amide coupling with fluoresceinamine. The use of fluorescein as fluorescent probe for the functionalization of CNOs is due to the high fluorescence quantum yield along with the high photostability and low toxicity of this dye [60]. Further, the ease of preparation and the availability of different functional groups, has prompted the use of this dye for the chemical functionalization of nanomaterials, including carbon based nanomaterials [36]. We have characterized the fluorescently labelled CNOs by using a variety of techniques including thermogravimetric analysis (TGA), dynamic light scattering (DLS), zeta potential as well as Fourier transform infrared (FTIR), Raman, absorption and fluorescence spectroscopies. The fluorescently functionalized CNOs have been tested as probe for in vitro imaging of HEK293 cells. The high degree of surface functionalization together with good dispersability and low cytotoxicity of this nanomaterial will pave the way for its potential applications in biomedical imaging and for the development of novel systems for theranostic purposes.

**Experimental**

**Material and methods**

The following chemicals were purchased from Sigma-Aldrich and used as received: nitric acid, 4-dimethylaminopyridine (DMAP), N-hydroxysuccinimide (NHS), 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride (EDC-HCl), fluoresceinamine (isomer I), anhydrous tetrahydrofuran (THF), dimethylformamide (DMF), dimethyl sulfoxide (DMSO), phosphate buffered saline (PBS). For cell culture study Dulbecco’s modified Eagle’s medium (DMEM) enriched with 10% FBS (Life Technologies), 2% Penstrep and 1% glutamine, was employed. All aqueous solutions were prepared by using deionized water, which was further purified with a Milli-Q system (Millipore) and all solvents were purchased in high purity grade. Reactions and measurements were carried out under ambient conditions, unless otherwise noted.

**Synthetic procedures**

**p-CNO**

The synthesis of small CNOs (6–8 shells) was performed by annealing nanodiamonds of 5 nm average particle size under a positive pressure of helium at 1650°C [34].

**ox-CNO**

Oxidation of pristine CNOs was carried out following a modified procedure based on earlier reported methodology [50]. 40 mg of pristine CNOs were dispersed in 30 ml of a solution of nitric acid (3M) and stirred under reflux for 48 h. After cooling to room temperature, the CNOs were filtered off on a nylon filter membrane (pore size 0.2 μm) and washed with water, methanol and acetone. 38 mg of ox-CNO were recovered as black powder after drying over night at RT.

**flu-CNO**

A dispersion of ox-CNO (15 mg) was prepared by ultrasonication (20 min at 37 kHz) in a mixture (30 ml) of dry THF and DMF (2 : 1). The mixture was deoxygenated under N₂ and 50 mg (0.41 mmol) of DMAP, 50 mg (0.43 mmol) of NHS and 80 mg
(0.42 mmol) of EDC-HCl were added. The reaction mixture was briefly sonicated and after the addition of 40 mg (0.12 mmol) of fluoresceinamine (isomer I) heated under reflux for 4 d under N2. After cooling to RT, the CNOs were redispersed in DMF, filtered off (nylon membrane, pore size 0.2 μm) and washed with THF and acetone. 12 μg of fluo-CNO were recovered as black powder after drying under air for one day.

**Instrumentation**

*Thermogravimetric analysis (TGA)*

TGA was conducted on a TA Q500 analyser, using a Pt pan as sample holder. After equilibrating the sample at 30 °C for 5 min and then at 100 °C for additional 20 min, the measurement was performed in air using a heating rate of 10 °C/min. The sample weight was monitored until 900 °C.

*Attenuated total reflectance Fourier transformed infrared (ATR FTIR) spectroscopy*

The FTIR spectra were recorded with aBruker Vertex 70v FTIR spectrometer equipped with a Platinum ATR accessory on solid samples.

*Raman spectroscopy*

Raman spectra were measured on a Horiba Jobin Yvon HR800 UV LabRam Raman microscope. For the Raman measurements, the samples were excited with a built-in 632 nm laser. The samples were deposited by adding the dry compound to a drop of methanol on the glass slide. The slides were dried in air for 2 h.

*Absorption and fluorescence spectroscopy*

Absorption spectra were recorded on an Agilent Cary 8454 UV–vis diode array spectrophotometer. Fluorescence spectra were taken on a Horiba Jobin Yvon Fluoromax-4 spectrofluorometer in 1.00 cm × 1.00 cm quartz glass cells. The CNO samples were dispersed in DMSO, PBS 0.01M or cell medium to a final concentration of 500 μg ml−1. The dispersion of CNO was sonicated for 15 min at 37 kHz and then diluted respectively in DMSO, PBS 0.01M or cell medium to achieve final concentrations of 50, 20, 10, 5 and 1 μg ml−1.

*Dynamic light scattering (DLS) and zeta-potential measurements*

DLS Measurements were performed on the Malvern Nano-ZS instrument operating in backscattering (173°) mode and analysed with the software Zetasizer, with automatic selection of the optimal detector position and number of independent measurements. The CNO samples were dispersed in DMSO, PBS0.01M or cell medium to a final concentration of 500 μg ml−1. The samples were sonicated for 30 min at 37 kHz and then diluted respectively in DMSO, PBS 0.01M or cell medium to achieve final concentrations of 50, 20, 10, 5 and 1 μg ml−1. The CNOs samples were sonicated for additional 15 min and the size of the particle was measured. Z-potential measurements were performed on the same apparatus using the disposable Z-potential cuvettes.

*Confocal microscopy*

Confocal imaging was performed with a laser scanning confocal microscope equipped with a resonant scanner (Nikon A1R) using a 20 × objective.

**Biological methods**

*Sample preparation for cell study*

The samples of α-CNO and fluo-CNO were prepared by suspending 1 mg of CNOs in 1 ml sterile phosphate buffered saline (PBS) solution followed by sonication for 30 min at 37 kHz. The samples were then dispersed in the cell culture media (DMEM) at final concentrations of 1, 2, 5, 10 μg ml−1.

*Cell culture and viability assay*

Cells were grown in monolayer culture at 37 °C under a 5% CO2 atmosphere in a humidified environment. HeLa cells were cultured in Dulbecco’s modified Eagle’s medium (DMEM) enriched with 10% FBS (Life Technologies), 2% Penstrep and 1% glutamine. Cells were seeded in 24 well chamber slides at a density of 5 × 104 cells/well and incubated in a 500 μl cell culture medium to obtain a subconfluent monolayer after 48 h in a humidified atmosphere at 37 °C and 5% CO2. The cell culture medium was removed and replaced with 500 μl medium with dispersions of α-CNO and fluo-CNO at the final concentrations. The viability of the cells was measured after 12, 24, 48 and 72 h of exposure to the samples of CNO, utilizing the PrestoBlue™ cell viability assay (Life Technologies). Assays were performed following a procedure previously described [61] by measuring the absorbance on a microplate reader at a wavelength of 570 nm. Each measurement was normalized with the average signal of untreated wells to determine the percent cell viability expressed as the mean ± SD.

*Confocal microscopy*

Cells were grown in subconfluent monolayer (50–60 % confluent) on 25 mm glass bottom dishes at 37 °C under a 5% CO2 atmosphere in a humidified environment. The culture medium was removed and replaced with 1, 5 and 10 μg ml−1 suspension of fluo-CNO and incubated for 24 h. After the incubation time, the cells were washed with PBS three times to remove any remaining media and the cells were incubated for 7 min at 37 °C with a solution of Hoechst 33342, for live nuclear staining. Excitation of the fluorescein on the CNO samples was performed at 488 nm and the emission was acquired in the spectral window between 500–560 nm. The Hoechst 33342 was exited at 405 nm and the images were acquired in the emission range of 415–480 nm.
Results and discussion

The surface functionalization of $p$-CNO, prepared by the thermal annealing of 5 nm diameter nanodiamonds [34], was accomplished by chemical oxidation of the CNO surface to introduce carboxylic acid functional groups. The oxidation of these surface defective sites was performed by treatment of a dispersion of $p$-CNO with nitric acid (3M) under reflux conditions for 48 h (scheme 1). The resulting CNOs, named ox-CNO, were collected from the reaction mixture and the excess of nitric acid was removed by extensive washing with water, methanol and acetone; the ox-CNO sample, decorated with carboxylic acid groups, can now be dispersed in water. The attachment of the fluorescein onto the surface of the ox-CNO was realized by amide coupling of fluoresceinamine to the carboxylic acid groups in a mixture of THF : DMF (2 : 1), using N-hydroxysuccinimide (NHS) and 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride (EDC-HCl), in the presence of 4-dimethylaminopyridine (DMAP) (scheme 1). After reaction, the fluo-CNOs were filtered-off and washed with plenty of THF and acetone in order to completely remove the fluorescein physically adsorbed on the surface of the fluo-CNOs. The successful surface modification of the $p$-CNO with carboxylic acid groups to yield ox-CNO and the coupling with fluorescein to obtain fluo-CNO, was corroborated by a variety of techniques. The chemical modification of $p$-CNO was confirmed by solid-state ATR FT-IR spectroscopy which displays the characteristic stretching and vibration bands of the carboxylic acid groups in the case of ox-CNO and the fluorescein functionalities for the fluo-CNOs. The FTIR spectrum of ox-CNO shows a peak at around 1680 cm$^{-1}$ corresponding to the stretching vibrations of C=O (figure 1). A significant change of the FTIR spectra was observed after coupling of fluorescein amine on the surface modified CNO, and new bands corresponding to the vibrations of the fluorescein moiety are seen in the spectrum of fluo-CNOs. Raman spectra of $p$-CNO, ox-CNO and fluo-CNO are shown in figure 2. Ox-CNO display a little lowering of the D-band (1320 cm$^{-1}$) compared to the G-band (1580 cm$^{-1}$), suggesting purification of the pristine CNOs from carbonaceous material upon nitric acid treatment. As expected the amidation of the carboxyl moiety leading to fluo-CNO did not alter significantly the ratio between the D and G bands.

The high degree of surface functionalization of the ox-CNOs in comparison to previously reported chemical modification of CNOs, such as the grafting of the CNO surface with benzoic acid groups by radical addition procedure, was observed by TGA analysis. TGA performed in air displays the thermal decomposition of the carboxylic acid groups, followed by the decomposition of the CNOs core. The comparison of the TGA weight loss curves (figure 3) of $p$-CNO with
that of ox-CNO reveals a decrease in the decomposition temperature from 655 to 617 °C. The coverage of carboxylic acid groups on the surface of the ox-CNO was calculated from the TGA weight loss at 450 °C and around 210 carboxylic functionalities per CNO were estimated. In comparison with the grafting coverage obtained from the modification of the CNOs with benzoic acid by radical addition [48–50], yielding to approximately 50 carboxylic functionalities per CNO, the modification of the CNOs by the chemical oxidation procedure described here yields a much higher grafting coverage. The attachment of fluoresceinamine on the ox-CNO to yield fluo-CNO results in a further decrease of the decomposition temperature to 578 °C, as resulted from the TGA curve. The number of fluorescein molecules per CNO was calculated from the weight loss at 450 °C of the fluo-CNO and are approximately 28 units per unit. A comparable degree of surface coverage of fluorescein units per CNO was obtained for different functionalization procedures reported previously [48]. On the other hand, the higher surface coverage of carboxylic acid groups obtained for the fluo-CNO, along with the presence of the fluorophore, results in an enhancement of the capability of the CNO constructs to be dispersable in water.

Particle dispersion and stability were systematically investigated in different conditions by dynamic light scattering (DLS) experiments. DLS measurements were performed on the CNOs samples dispersed in DMSO, phosphate buffer 0.01M or cell culture medium (DMEM with 10% FBS, 2% Penstrep and 1% glutamine). The dispersion of ox-CNO or fluo-CNO was carried out at an initial concentration of 500 μg ml⁻¹ by sonication of the sample for 30 min in DMSO, phosphate buffer 0.01M (pH 7.4) or cell medium, followed by dilution of the samples to achieve final concentrations of 50, 20, 10, 5 and 1 μg ml⁻¹. Unlike previously reported CNO constructs that displayed bimodal dimensional distribution and a significant tendency to agglomerate with time, ox-CNO revealed an effective hydrodynamic diameter in DMSO and in phosphate buffer of 115 ± 1 nm and 105 ± 1 nm, respectively. These values of diameters does not change significantly with the time as well as the concentration of ox-CNO, suggesting the low tendency of these dispersions to form large agglomerates even at relatively high concentrations. Under similar conditions hydrodynamic diameters of 171 ± 8 nm and 179 ± 33 nm were measured for the fluo-CNO dispersed in DMSO and in phosphate buffer (table 1). Z-potential measurements of ox-CNO and fluo-CNO samples were performed in phosphate buffer 0.01M at pH 7.4. Under these conditions the zeta-potential for the ox-CNO was −41 ± 1 mV, independently on the CNO concentrations used. Only a minute change in the zeta-potential was observed after functionalization of the CNOs with fluorescein to yield fluo-CNO (table 1), indicating that the carboxy functionalities have a significant effect on the charging capacity of each particle. For comparison, the zeta-potential of a dispersion of p-CNO in phosphate buffer 0.01M was −18 ± 2 mV. DLS and zeta-potential measurements were then carried out in the cell medium in order to examine the behaviour of the CNOs under physiological conditions. The dispersion of the particles in cell medium has a dramatic effect on the behaviour of the ox-CNO with an average hydrodynamic diameter of 828 ± 110 nm. An average diam-
The emission properties of the fluorescent labelled CNOs were tested under different conditions. Upon photoexcitation at 490 nm of a dispersion of fluo-CNO in DMSO, an intense emission band was observed with a maximum at 544 nm. The fluorescence spectra of dispersions of fluo-CNO in phosphate buffer and cell culture medium gave rise to an emission centred at around 518 nm, which is slightly shifted in comparison to the emission from the media was subtracted to the spectra of fluo-CNO.

The viability of the HeLa cells treated with fluo-CNO was higher than 80% for 24 h of incubation at 37°C, the cells were carefully rinsed with fresh media to remove the excess of CNOs and treated with Hoechst 33342, for live nuclear staining. The cellular uptake characteristics of the fluo-CNO in the concentration range investigated are shown in figure 6. The green fluorescence signal detected in the cells clearly indicates that fluo-CNOs were internalized by cells. Cells treated with 1 µg ml⁻¹ fluo-CNO display a point-like distribution of the fluorescence signal in the perinuclear region (figure 6(a)). In agreement with previous studies on the cellular uptake of CNOs functionalized with fluorophores [49, 50], the localization of the fluo-CNO in living cells within the cytoplasmatic compartment is likely due to intravesicle storage of the CNOs as a consequence of the cell internalization of fluo-CNO by an endocytosis pathway. For higher concentrations of fluo-CNO, 5 and 10 µg ml⁻¹ (figures 6(b) and (c)), the cells display a widely spread green emission from the fluorescein coupled onto the CNOs, indicating an efficient cellular internalization of the fluo-CNO.

Conclusions

We have demonstrated a versatile strategy for the preparation of highly surface modified CNOs by oxidation of the defects on the surface of CNOs and chemical functionalization of the carboxyl groups by amide coupling with fluoresceineamine. We have systematically investigated the importance of surface functionalization for the dispersion of the modified fluorescent monolayer on a glass slide were treated with a dispersion of fluo-CNO in DMEM at concentrations of 1, 5 and 10 µg ml⁻¹. After 24 h of incubation at 37°C, the cells were carefully rinsed with fresh media to remove the excess of CNOs and treated with Hoechst 33342, for live nuclear staining. The cellular uptake characteristics of the fluo-CNO in the concentration range investigated are shown in figure 6. The green fluorescence signal detected in the cells clearly indicates that fluo-CNOs were internalized by cells. Cells treated with 1 µg ml⁻¹ fluo-CNO display a point-like distribution of the fluorescence signal in the perinuclear region (figure 6(a)). In agreement with previous studies on the cellular uptake of CNOs functionalized with fluorophores [49, 50], the localization of the fluo-CNO in living cells within the cytoplasmatic compartment is likely due to intravesicle storage of the CNOs as a consequence of the cell internalization of fluo-CNO by an endocytosis pathway. For higher concentrations of fluo-CNO, 5 and 10 µg ml⁻¹ (figures 6(b) and (c)), the cells display a widely spread green emission from the fluorescein coupled onto the CNOs, indicating an efficient cellular internalization of the fluo-CNO.

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CNOs in physiological conditions. The surface functionalized CNOs displays attractive properties, such as high fluorescence and dispersability in water. The high biocompatibility and minimal systemic toxicity of these fluorescent CNOs along with an efficient cellular uptake, make these functionalized CNOs suitable candidates as fluorescent probes for bioimaging studies. These highly surface functionalized carbon nanoparticles hold promise as multifunctional nanomaterial for theranostic applications.

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